

STATEMENT FOR SELF-CERTIFIED SOPHISTICATED INVESTOR

If you meet conditions A, B or C below, you may choose to be classified as a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act 2000 (Financial Promotions) Order 2005.

Have you:

A. Worked in a professional capacity in the private equity sector or in the provision of finance for small and medium enterprises in the last two years?

Yes No

* This field is required.

If yes, what is/was the name of the business/organisation?

* This field is required.

B. Been the director of a company with an annual turnover of at least £1.6 million in the last two years?

Yes No

* This field is required.

If yes, what is/was the name of the company, and its Companies House number (or international equivalent)?

* This field is required.

C. Been a member of a network or syndicate of business angels for more than six months, and are still a member?

Yes No

* This field is required.

If yes, what is the name of the network or syndicate?

* This field is required.

I declare that I have answered yes to A and/or B and/or C and wish to be treated as a self-certified sophisticated investor.

I understand that this means:

- a.** I can receive financial promotions where the contents may not comply with rules made by the Financial Conduct Authority (FCA).
- b.** I can expect no protection from the FCA, the Financial Ombudsman Service or the Financial Services Compensation Scheme.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

I accept that I could lose all of the money I invest.

[insert date]

Your legal name

Your email address

Sign here
